

## Model Theory 2015, Assessment 2, Solutions

1. Suppose that  $\mathcal{M}$  is an  $\mathcal{L}$ -structure and that  $\phi(x)$  is a formula of  $\mathcal{L}$  such that  $\phi(\mathcal{M})$  is infinite.

(a) Suppose that  $\psi_1(x), \dots, \psi_n(x)$  are formulas such that  $\phi(\mathcal{M}) = \psi_1(\mathcal{M}) \cup \dots \cup \psi_n(\mathcal{M})$ . Prove that for every elementary extension  $\mathcal{N} \succ \mathcal{M}$  we have  $\phi(\mathcal{N}) = \psi_1(\mathcal{N}) \cup \dots \cup \psi_n(\mathcal{N})$ .

**Solution:** By assumption  $\mathcal{M} \models \sigma$  where  $\sigma$  is the sentence  $\forall x (\phi(x) \leftrightarrow \bigvee_{i=1}^n \psi_i(x))$ . So  $\mathcal{N} \models \sigma$  and hence  $\phi(\mathcal{N}) = \psi_1(\mathcal{N}) \cup \dots \cup \psi_n(\mathcal{N})$ .

(b) Suppose that  $\{\theta_i(x) : i \geq 1\}$  are infinitely many formulas such that  $\phi(\mathcal{M}) = \bigcup_{i \geq 1} \theta_i(\mathcal{M})$  and such that for every  $n \geq 2$ ,  $\theta_n(\mathcal{M}) \not\subseteq \theta_1(\mathcal{M}) \cup \dots \cup \theta_{n-1}(\mathcal{M})$ . Prove that there is an elementary extension,  $\mathcal{N}$ , of  $\mathcal{M}$  such that  $\phi(\mathcal{N}) \neq \bigcup_{i \geq 1} \theta_i(\mathcal{N})$ .

**Solution:** Let  $\Phi(x)$  be the partial type  $\{\phi(x)\} \cup \{\neg\theta_i(x) : i \geq 1\}$ . This is finitely realised in  $\mathcal{M}$  since any finite subset of  $\Phi$  is contained in a finite subset of the form  $\Phi_0 = \{\phi(x)\} \cup \{\neg\theta_i(x) : 1 \leq i \leq n-1\}$  for some  $n$ . By assumption there is some element in  $\theta_n(\mathcal{M}) \setminus \bigcup_{i=1}^{n-1} \theta_i(\mathcal{M})$ , hence in  $\phi(\mathcal{M}) \setminus \bigcup_{i=1}^{n-1} \theta_i(\mathcal{M})$ , and such an element satisfies all the formulas in  $\Phi_0$ , as required.

So, by [5.13] (realising partial types in elementary extensions), there is an elementary extension  $\mathcal{N}$  of  $\mathcal{M}$  containing an element  $b \in N$  which satisfies all the formulas in  $\Phi$ , that is, which is in  $\phi(\mathcal{N})$  but in no  $\theta_i(\mathcal{N})$ .

[Comment: [5.13] is essentially the Compactness Theorem plus the method of diagrams. A number of you essentially re-proved [5.13] in this particular context, which is a good exercise, but not necessary since quoting [5.13] allows you to avoid repeating those details.]

(c) Give an example of a language  $\mathcal{L}$ , an  $\mathcal{L}$ -structure  $\mathcal{M}$  and formulas  $\phi(x)$ ,  $\theta_i(x)$  ( $i \geq 1$ ) which are as in (b).

**Solution:** There are many, many examples. You could name all the elements of a countably infinite set by adding constant symbols ( $c_a$ , for  $a \in M$ ) and then take  $x = x$  for  $\phi(x)$  and take the  $\theta_i(x)$  to be of the form  $x = c_a$  where  $a$  runs through all the elements of  $M$ . For another example, take the reals as an ordered field, take  $\phi(x)$  to be  $x \geq 0$  and  $\theta_i(x)$  to be  $i \leq x \leq i+1$  for  $i$  a natural number.

2. Let  $(\mathbb{Z}; 0, 1, +, \times, \leq)$  be the ring of integers regarded as a structure for a language  $\mathcal{L} = \mathcal{L}_0 \vee \{0, 1, +, \times, \leq\}$  for ordered rings. Use the same notation,  $\mathbb{Z}$ , for this structure.

(i) Write down a formula  $\phi(x)$  of  $\mathcal{L}$  such that  $\phi(\mathbb{Z})$  is the set  $\{2^n : n \geq 1\}$  of positive powers of 2.

**Solution:** An integer is a positive power of 2 iff it is divisible by 2 and every integer  $\geq 2$  which divides it is also divisible by 2: an appropriate formula  $\phi(x)$  is  $2 \mid x \wedge \forall y ((y \geq 2 \wedge y \mid x) \rightarrow 2 \mid y)$ , where  $2$  is an abbreviation for  $1 + 1$  and (e.g.)  $y \mid x$  is an abbreviation for  $\exists z (x = yz)$ . Alternatively, we can use  $\psi(x)$  which is  $2 \mid x \wedge \forall y ((\pi(y) \wedge y \mid x) \rightarrow (y = 2))$ , where  $\pi(y)$  is an abbreviation for the formula  $\forall u, v (y \mid uv \rightarrow (y \mid u \vee y \mid v))$ .

(ii) Prove that there is an elementary extension  $\mathcal{Z}$  of  $\mathbb{Z}$  which contains an element  $a$  such that  $2^n \mid a$  for every positive integer  $n$  and such that 2 is the only positive

prime divisor of  $a$  (recall that an element  $p$  of a ring is said to be prime if  $p|st$  implies that  $p|s$  or  $p|t$ ).

**Solution:** Consider the set of formulas  $\Phi(x) = \{2^n \mid x : n \geq 1\} \cup \{\forall y (\pi(y) \wedge y \mid x \wedge y \geq 0) \rightarrow (y = 2)\}$  ( $\pi$  as above). Any finite subset of  $\Phi$  is realised in  $\mathbb{Z}$  by choosing  $x$  to be a suitably high power of 2 so, by [5.13], there is an elementary extension  $\mathcal{Z}$  of  $\mathbb{Z}$  containing an element,  $a$ , which satisfies all the formulas in  $\Phi$ , in other words, which is divisible by every  $2^n$  and whose only prime positive divisor is 2, as required.

[Comment: an alternative, which a number of you used, is to apply Q1(b) above in place of [5.13].]

**3.** Let  $\mathcal{L} = \mathcal{L}_0 \vee \{E(-, -)\}$  where  $E$  is a binary relation symbol. Suppose that  $\mathcal{M}, \mathcal{N}$  are  $\mathcal{L}$ -structures and that in each of  $\mathcal{M}, \mathcal{N}$  the interpretation of  $E$  is an equivalence relation with infinitely many classes and with each equivalence class infinite. Prove that  $\mathcal{M} \equiv \mathcal{N}$ .

**Solution:** By Downwards Löwenheim-Skolem there is a countable elementary substructure  $\mathcal{M}_0$  of  $\mathcal{M}$  and a countable elementary substructure  $\mathcal{N}_0$  of  $\mathcal{N}$ . We will show that  $\mathcal{M}_0 \simeq \mathcal{N}_0$  and hence, since then  $\mathcal{M} \equiv \mathcal{M}_0 \equiv \mathcal{N}_0 \equiv \mathcal{N}$ , that  $\mathcal{M} \equiv \mathcal{N}$ . So, without loss of generality, assume that both  $\mathcal{M}$  and  $\mathcal{N}$  are countable. We define an isomorphism from  $\mathcal{M}$  to  $\mathcal{N}$  as follows.

Enumerate the  $E^{\mathcal{M}}$ -equivalence classes of  $M$  as  $E_1, E_2, \dots, E_n, \dots$  and those of  $E^{\mathcal{N}}$  as  $F_1, F_2, \dots, F_n, \dots$ . Each of those classes is countably infinite, so, for each  $i$ , take a bijection  $\alpha_i : E_i \rightarrow F_i$ . Then define  $\alpha : M \rightarrow N$  by  $\alpha(a) = \alpha_i(a)$  if  $a \in E_i$ . Note that this is well-defined since each element of  $M$  lies in exactly one  $E$ -equivalence class. Furthermore, if  $\alpha(a) = \alpha(b)$  then  $a$  and  $b$  lie in the same  $E$ -class,  $E_i$  say, (since the images of different  $\alpha_i$  - the  $F_i$  - are disjoint) and hence  $\alpha_i(a) = \alpha_i(b)$ , so  $a = b$  (since  $\alpha_i$  is a monomorphism). Furthermore, each element of  $N$  lies in some  $F_j$  and hence is the image, under  $\alpha_j$ , of some element of  $E_j$ , so  $\alpha$  is a surjection. Finally, we have to check that  $\alpha$  is an isomorphism. The only structure is given by the equivalence relation, so we have to check that, if  $a, b \in M$  then  $(a, b) \in E^{\mathcal{M}}$  iff  $(\alpha(a), \alpha(b)) \in E^{\mathcal{N}}$ ; that is, two elements of  $M$  belong to the same equivalence class iff their images belong to the same equivalence class. But we constructed  $\alpha$  exactly to do this. So we do, indeed, have  $\mathcal{M} \simeq \mathcal{N}$ , as required.

[Comment: using a back-and-forth argument proved to be popular, and is fine, though the argument above, defining  $\alpha$  in blocks rather than one element at a time, is quicker.]