# Orbital profile and orbit algebra of oligomorphic permutation groups **Conjectures of Cameron and Macpherson**

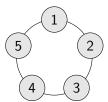
Justine Falque joint work with Nicolas M. Thiéry

Laboratoire de Recherche en Informatique Université Paris-Sud (Orsay)

NBSAN. June 14th of 2018

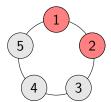
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#### Age and profile : example on a finite group (1)



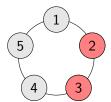
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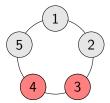
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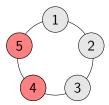
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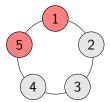
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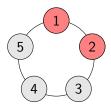
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Action of the cyclic group  $G = C_5$  on the five pearl necklace  $\rightarrow$  induced action on *subsets* of pearls

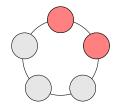
**Degree of an orbit**: the cardinality shared by all subsets in that orbit



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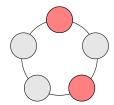
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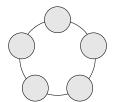


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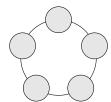


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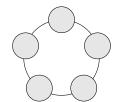


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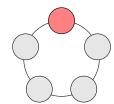


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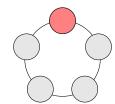


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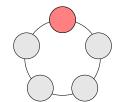


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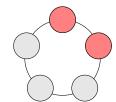


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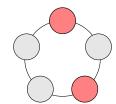


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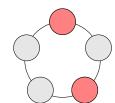


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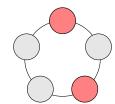


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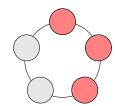


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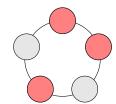


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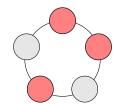


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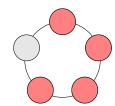
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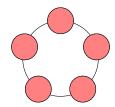
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$$\varphi_{G}(n) = 0 \text{ si } n > 5$$

Generating polynomial of the profile :

Age, profile; conjecture of Cameron

$$\mathcal{H}_{G}(z) = \sum_{n \geq 0} \varphi_{G}(n)z^{n} = 1 + z + 2z^{2} + 2z^{3} + z^{4} + z^{5}$$

Can be calculated using Pólya's theory

• G: a permutation group acting on a countably infinite set E

#### Age and profile of infinite permutation groups

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→ Oligomorphic permutation groups:

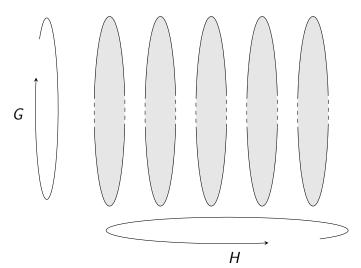
$$\varphi_G(n) < \infty \quad \forall n \in \mathbb{N}$$

#### Wreath product of two permutation groups

 $G < \mathfrak{S}_M, H < \mathfrak{S}_N$ 

Age, profile; conjecture of Cameron

 $G \wr H$  has a natural action on  $E = \bigsqcup_{i=1}^N E_i$ , with card  $E_i = M$ .

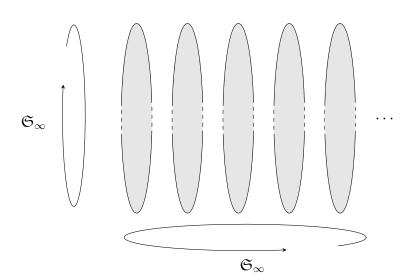


Example :  $G = \mathfrak{S}_{\infty} \wr \mathfrak{S}_{\infty}$ 

$$\varphi_G(n) = ?$$

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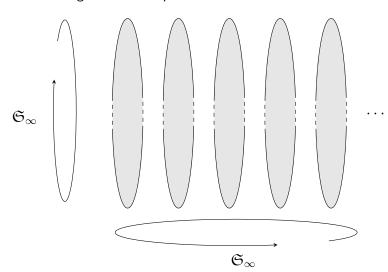


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An orbit of degree  $n \longleftrightarrow$  a partition of n

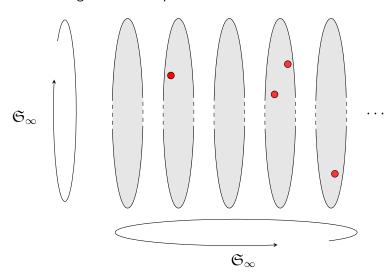


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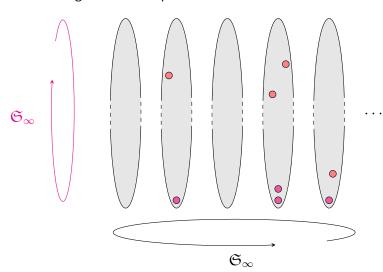
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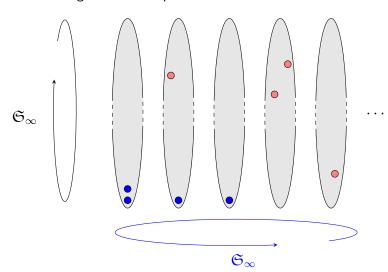
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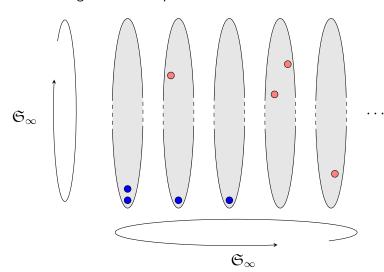


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### Examples

•  $G = \mathfrak{S}_{\infty} \wr \mathfrak{S}_{\infty}$  (action on a denumerable set of copies of  $\mathbb{N}$ )  $\varphi_G(n) = \mathscr{P}(n)$ , number of partitions of n

$$\mathcal{H}_{G} = \frac{1}{\prod_{i=1}^{\infty} (1-z^{i})}$$

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•  $G = \mathfrak{S}_{\infty} \wr \mathfrak{S}_m$  $\varphi_G(n) = \mathscr{P}_m(n)$ , number of partitions into at most m parts

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### Conjecture of Cameron

### Conjecture (Cameron, 70s)

Age, profile; conjecture of Cameron

If a profile is bounded by a polynomial it is quasi-polynomial:

$$\varphi_G(n) = a_s(n)n^s + \cdots + a_1(n)n + a_0(n),$$

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#### Note

Age, profile; conjecture of Cameron

$$\mathcal{H}_G = rac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})} \implies arphi_G$$
 quasi-polynomial of degree at most  $k-1$ 

## Graded algebras

#### Definition: Graded algebra

 $A = \bigoplus_n A_n$  such that  $A_i A_i \subseteq A_{i+1}$ .

#### Example

 $A = \mathbb{K}[x_1, \dots, x_m]$  is a graded algebra.

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#### Proposition

A is finitely generated  $\implies$  Hilbert  $(A) = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$ 

### Example

Hilbert  $(\mathbb{Q}[x, y, t^3]) = \frac{1}{(1-z)^2(1-z^3)}$ 

### A strategy to prove Cameron's conjecture?

- G: an oligomorphic permutation group with polynomial profile
- Find a graded algebra  $A(G) = \bigoplus_{n>0} A_n$  such that

$$\mathcal{H}_G = Hilbert(A(G))$$

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Conjecture of Macpherson

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- Try to show that A(G) is finitely generated
- Deduce:

$$\mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$$

and thus the quasi-polynomiality of  $\varphi_G(n)$ 

# Cameron, 1980: the orbit algebra $\mathbb{Q}\mathcal{A}(G)$

- a commutative connected graded algebra  $\mathbb{Q}\mathcal{A}(G) = \bigoplus_{n \geq 0} A_n$
- $\dim(A_n) = \varphi_G(n)$

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#### Vector space structure

- finite formal linear combinations of orbits (ex:  $2o_1 + 5o_2 o_3$ )
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#### Product?

Defined on subsets:

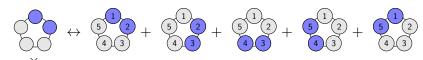
$$ef = \begin{cases} e \cup f & \text{if } e \cap f = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

•  $o = \{e_1, e_2, \ldots\} \longleftrightarrow e_1 + e_2 + \cdots$ 



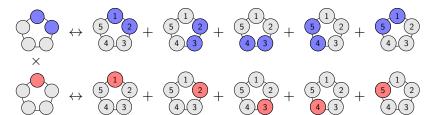
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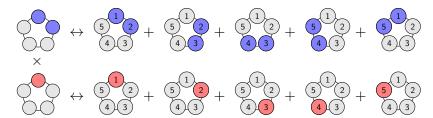


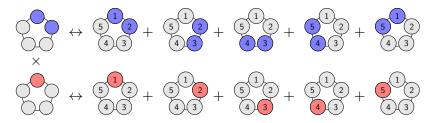


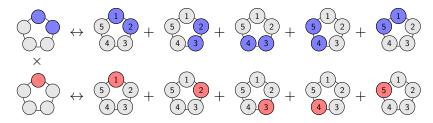


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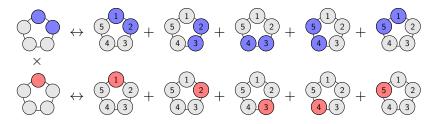




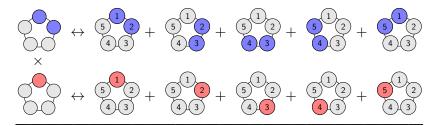




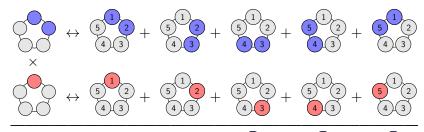
$$= 0 +$$



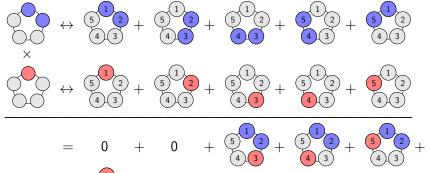
$$=$$
 0 + 0 +  $\frac{5}{4}$ 

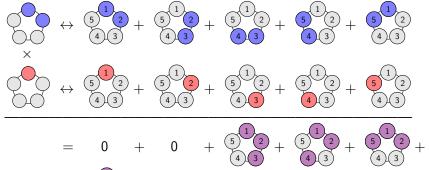


$$=$$
 0 + 0 +  $\frac{5}{4}$  +  $\frac{5}{4}$  +  $\frac{5}{4}$  (3)

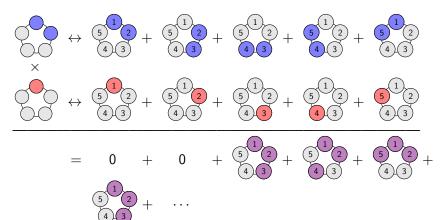


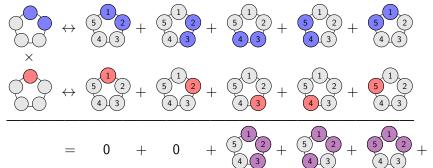
$$= 0 + 0 + \frac{5}{4} + \frac{5}$$



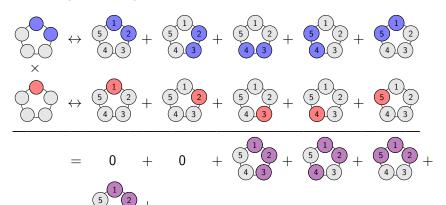




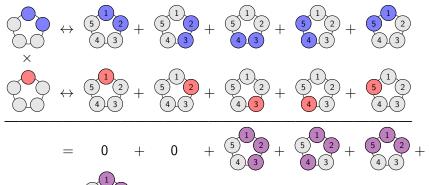




$$=$$
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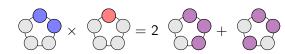


$$= 2 \frac{5}{4} + 2 \frac{5}{4} + \cdots$$

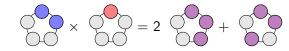


$$= 2 \frac{5}{4} \frac{1}{3} + 2 \frac{5}{4} \frac{1}{3} + \cdots + 1 \frac{5}{4} \frac{1}{3} + \cdots$$

In the end:



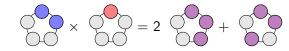
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#### Non trivial fact

Product well defined (and graded) on the space of orbits.

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Product well defined (and graded) on the space of orbits.

 $\longrightarrow$  The orbit algebra of a permutation group

# Examples of orbit algebras (1)

### Example 1

If 
$$G = \mathfrak{S}_{\infty}$$
,  $\varphi_G(n) = 1$  for all  $n$ , and  $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x]$ .

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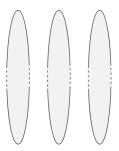
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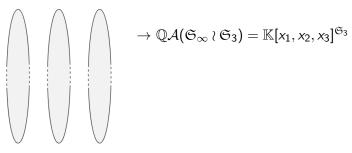
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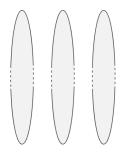
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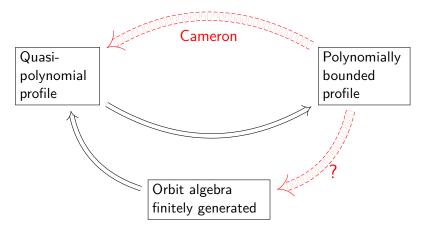
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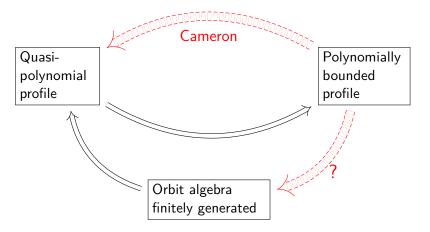
$$ightarrow \mathbb{Q}\mathcal{A}(\mathfrak{S}_{\infty}\wr\mathfrak{S}_{3})=\mathbb{K}[x_{1},x_{2},x_{3}]^{\mathfrak{S}_{3}}$$

More generally, for H subgroup of  $\mathfrak{S}_m$ ,  $\mathbb{Q}\mathcal{A}(\mathfrak{S}_{\infty} \wr H) = \mathbb{K}[x_1, \dots, x_m]^H$ , the algebra of invariants of H

### Overview and conjecture of Macpherson



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Conjecture (Macpherson, 1985)

Profile of G polynomial  $\iff \mathbb{Q}\mathcal{A}(G)$  finitely generated

## Finite index subgroups

#### Theorem

Let H be a finite index subgroup of G.

- The profiles of G and H are asymptotically equivalent
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### Application: reduction of Macpherson's conjecture

Without loss of generality, we may assume for instance that G has no finite orbit.

But there will be more...

## Block systems

#### Definition: Block system

Partition of E such that each part is globally mapped onto another one (or itself) by every element of *G* (see previous examples)

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If G is **primitive** (i.e. admits no non trivial block system) then G has its profile equal to 1 or exponential.

 $\rightarrow$  The groups we are interested in have a constantly equal to 1 profile or have a block system.

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Theorem (Classification, Cameron)

There are exactly 5 complete groups of constantly equal to 1 profile.

### The complete primitive groups

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- Aut(Q): automorphisms of the rational chain (increasing functions)
- $Rev(\mathbb{Q})$ : generated by  $Aut(\mathbb{Q})$  and one reflection
- Aut(Q/Z), preserving the circular order
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Well known, nice groups (called highly homogeneous). In particular, their orbit algebra is finitely generated.

Age, profile; conjecture of Cameron

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Intuitively: higher lower bound = better description of the group

 $\rightarrow$  we want the finite blocks to be big, and the infinite ones to be many (thus small).

### Canonical block system

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Finite blocks as big as possible, and some infinite, smallest ones

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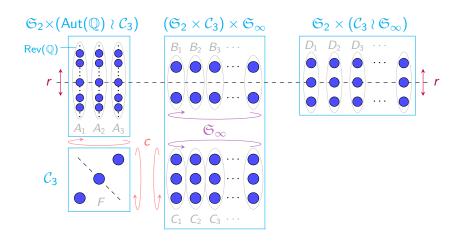


- Reduction  $\rightarrow$  stable blocks (union of orbits)
- $B(G) \rightarrow$  restrictions are primitive groups



-  $B(G) \rightarrow$  action on the blocks is primitive Actually, G acts on the blocks as  $\mathfrak{S}_{\infty}$ 

## A typical group with profile bounded by a polynomial



Case of 2 stable parts (ex : 2 infinite orbits)

$$\label{eq:energy_energy} \textit{E}_1 \sqcup \textit{E}_2 \text{ , } \textit{G}_{|\textit{E}_1} = \textit{G}_1, \textit{G}_{|\textit{E}_2} = \textit{G}_2$$

Synchronization between them ?

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#### Example

If  $G_1 = G_2 = \mathfrak{S}_{\infty}$ , the actions are either independent or totally synchronized. One may assume safely, for our purposes, the same about the other four groups.

## Application to the canonical block system

Works on orbits of blocks  $\rightarrow$  no infinite synchronization in B(G)

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Last obstacle : remaining finite synchronizations

Age, profile; conjecture of Cameron

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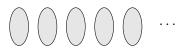
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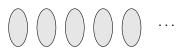






#### Definition: Tower of G

 $H_0$   $H_1$   $H_2$  ... where  $H_i$  is the restriction to the block i+1 of the subgroup of G that stabilizes all the blocks and acts trivially on the first i blocks.

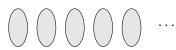


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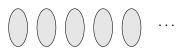
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### Proposition 1

Same tower  $\Longrightarrow$  Same orbit algebra

### Proposition 2

The tower of G must be of shape :  $H_0 H H H \dots$ Thus, G has the same orbit algebra as  $\frac{H_0}{H} \times H \wr \mathfrak{S}_{\infty}$ , which is of finite index over  $H \wr \mathfrak{S}_{\infty}$ .

Proof 00000000000000

Sketch of proof.

1. Finite case of four blocks only: G has tower  $H_0$   $H_1$   $H_2$   $H_3 \Rightarrow H_1 = H_2$ 

Proof

# The "hard case": transitive block system of finite blocks

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- Restrictions to orbits of finite blocks may be thought of as wreath products for the sake of proving the conjecture
- Solves the issue of possible finite synchronizations between different orbits of blocks

Proof

1. B(G) canonical block system

Age, profile; conjecture of Cameron

Proof 00000000000000

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# Recap: proof of the conjecture of Macpherson

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### Theorem (Thiéry & F., 2017)

The orbit algebra of an oligomorphic permutation group with profile bounded by a polynomial is finitely generated.

In other words, the conjectures of Macpherson and Cameron hold!

# Stronger result: Cohen-Macaulay algebra

Proof 0000000000000

• Finite generation of the orbit algebra  $\Rightarrow \mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$ 

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- Case of Cohen-Macaulay algebras (free finite module over a free finitely generated algebra) :  $\exists P(z)$  with positive coefficients

# Stronger result : Cohen-Macaulay algebra

- Finite generation of the orbit algebra  $\Rightarrow \mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$
- Case of Cohen-Macaulay algebras (free finite module over a free finitely generated algebra) :  $\exists P(z)$  with positive coefficients
- Once again, it is possible to adapt a proof of invariant theory to obtain that the orbit algebra is indeed a Cohen-Macaulay algebra

## Thank you for your attention!

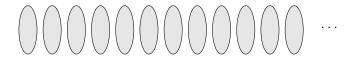
#### Context

- G permutation group of a countably infinite set E
- Profile  $\varphi_G$ : counts the orbits of finite subsets of E
- **Hypothesis** :  $\varphi_G(n)$  bounded by a polynomial
- Conjecture (Cameron) : quasi-polynomiality of  $\varphi_G$
- Conjecture (Macpherson): finite generation of the orbit algebra

#### Results

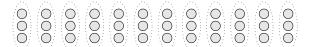
- Both conjectures hold!
- The orbit algebra is a Cohen-Macaulay algebra
- Classification of the P-oligomorphic permutation groups...

"Speak, friend..."



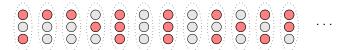
"Speak, friend..."

Example 3



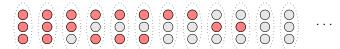
"Speak, friend..."

### Example 3



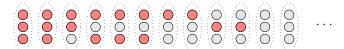
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### Example 3



"Speak, friend..."

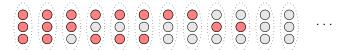
### Example 3





"Speak, friend..."

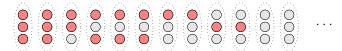
#### Example 3





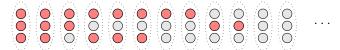
"Speak, friend..."

#### Example 3



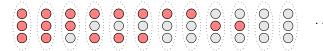
"Speak, friend..."

#### Example 3



"Speak, friend..."

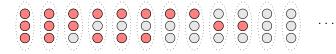
#### Example 3





"Speak, friend..."

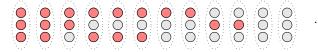
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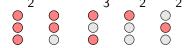




"Speak, friend..."

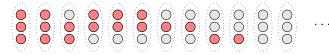
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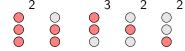




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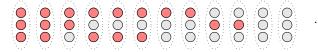
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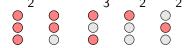




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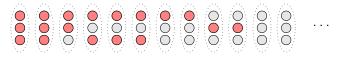
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"Speak, friend..."

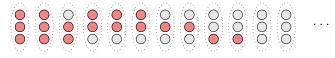
#### Example 3





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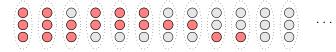


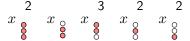


"Speak, friend..."

#### Example 3

 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3

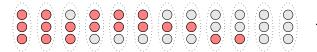




 $\rightarrow$   $C_3$  acts on monomials

"Speak, friend..."

### Example 3



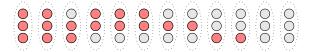
$$G' = C_3$$
 acting on (non empty) subsets

$$\mathbb{K}[\ x\ ]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty} \ ?$$

"Speak, friend..."

#### Example 3

 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3



 $G' = C_3$  acting on (non empty) subsets

$$\mathbb{K}[\ x\ ]^{G'} \quad \longleftrightarrow \quad \text{Orbit algebra of} \ \textit{C}_3 \times \mathfrak{S}_{\infty} \ \ ?$$

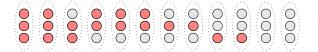
x

 $x \stackrel{\circ}{\circ}$ 

"Speak, friend..."

### Example 3

 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3



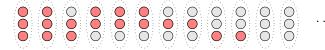
 $G' = C_3$  acting on (non empty) subsets

$$\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$$
?

"Speak, friend..."

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 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3

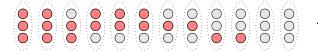


 $G' = C_3$  acting on (non empty) subsets

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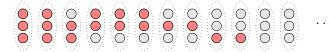


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G' = C_3 acting on (non empty) subsets \mathbb{K}[\ x\ ]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}?
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$$O(x_{\begin{subarray}{c} \end{subarray}})$$
 
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"Speak, friend..."

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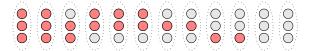


$$G'=C_3$$
 acting on (non empty) subsets  $\mathbb{K}[\ x\ ]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$ ?

O(
$$x$$
).O( $x$ )

"Speak, friend..."

#### Example 3



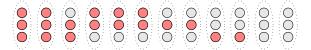
$$G' = C_3$$
 acting on (non empty) subsets  $\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$ ?

$$\mathsf{O}(\ x \, \bigcirc). \mathsf{O}(\ x \, \bigcirc) = \ \mathsf{O}(\ x \, \bigcirc) \, \bigcirc$$

"Speak, friend..."

#### Example 3

 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3



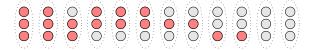
 $G' = C_3$  acting on (non empty) subsets  $\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$ ?

$$O(x) O(x) = O(x) + O(x)$$

"Speak, friend..."

#### Example 3

 $C_3 \times \mathfrak{S}_{\infty}$  acting on blocks of size 3



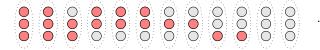
 $G' = C_3$  acting on (non empty) subsets

$$\mathbb{K}[\ x\ ]^{G'} \quad \longleftrightarrow \quad \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty} \ ?$$

$$\mathsf{O}(\ x \ \bigcirc). \mathsf{O}(\ x \ \bigcirc) = \ \mathsf{O}(\ x \ \bigcirc x \ \bigcirc) + \mathsf{O}(\ x \ \bigcirc x \ \bigcirc) + \mathsf{O}(\ x \ \bigcirc x \ \bigcirc)$$

"Speak, friend..."

#### Example 3



$$G' = C_3$$
 acting on (non empty) subsets

$$\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$$
?

$$O(x_{\circ}).O(x_{\circ}) = O(x_{\circ}x_{\circ}) + O(x_{\circ}x_{\circ}) + O(x_{\circ}x_{\circ})$$

"Speak, friend..."

#### Example 3



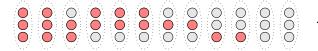
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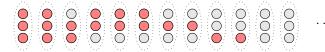
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?

$$O(x \circ) . O(x \circ) = O(x \circ x \circ) + O(x \circ x \circ) + O(x \circ x \circ)$$

"Speak, friend..."

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$$G' = C_3$$
 acting on (non empty) subsets

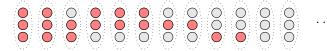
$$\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty} ?$$

$$O(x \circ) \cdot O(x \circ) = O(x \circ x \circ) + O(x \circ x \circ) + O(x \circ x \circ)$$

$$O(\begin{picture}(60,0)(10,0$$

"Speak, friend..."

#### Example 3



$$G' = C_3$$
 acting on (non empty) subsets

$$\mathbb{K}[x]^{G'} \longleftrightarrow \text{Orbit algebra of } C_3 \times \mathfrak{S}_{\infty}$$
?

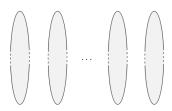
$$O(x \circ) \cdot O(x \circ) = O(x \circ x \circ) + O(x \circ x \circ) + O(x \circ x \circ)$$

$$O(\begin{tabular}{c} \bigcirc) O(\begin{tabular}{c} O(\begin{tabular}{c} \bigcirc) O(\begin{tabular}{c} O(\b$$

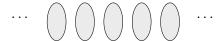
# Examples of orbit algebras (2)

More generally, for H subgroup of  $\mathfrak{S}_m$ :

•  $G = \mathfrak{S}_{\infty} \wr H$ :  $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x_1,\ldots,x_m]^H$ , the algebra of invariants of H  $\mathbb{Q}\mathcal{A}(G)$  is finitely generated by Hilbert's theorem.



•  $G = H \wr \mathfrak{S}_{\infty}$ :  $\mathbb{Q}\mathcal{A}(G)$  = the free algebra generated by the age of H



### The "hard" case: case of four blocks

#### Lemma to prove

G has tower  $H_0$   $H_1$   $H_2$   $H_3 \Rightarrow H_1 = H_2$ 

#### Lemma

In the general case:

 $Fix_G(B_1, ..., B_n)$  acts on the remaining blocks as  $\mathfrak{S}_{\infty}$  (due to the absence of normal subgroup of finite index of  $\mathfrak{S}_{\infty}$ ).

#### Proof.

An element  $s \in G$  stabilizing the blocks  $\leftrightarrow$  a quadruple  $g \in H_1 \rightarrow \exists (1, g, h, k), h, k \in H_1.$ 

Let  $\sigma$  be an element of G that permutes the first two blocks and fixes the other two.

Conjugation of x by  $\sigma$  in  $G \rightarrow y = (g', 1, h, k)$ Then:  $x^{-1}y = (g', g^{-1}, 1, 1)$ 

By arguing that the tower does not depend on the ordering of the blocks,  $g^{-1}$  and therefore g are in  $H_2$ .