

## MATH43032/63032, 2010-11, Solutions<sup>1</sup>

**A1.**  $\sim_{\vec{s}}$  is defined as follows: For  $\theta, \phi \in SL$ ,  $\theta \sim_{\vec{s}} \phi$  if for each  $i \in \{1, \dots, m\}$  we have  $S_\theta \cap s_i = \emptyset$ , or the least index  $i \in \{1, \dots, m\}$  with  $S_\theta \cap s_i \neq \emptyset$  satisfies  $S_\theta \cap s_i \subseteq S_\phi$ . Here  $S_\theta$  denotes the set  $At^L$  of all atoms of  $L$  with  $\alpha \models \theta$ .

The Representation Theorem for rcr's says that a binary relation  $\sim$  on  $SL$  is a rcr if and only if  $\sim = \sim_{\vec{s}}$  for some sequence  $\vec{s} = s_1, \dots, s_m$  with  $s_i \subseteq At(L)$ .

(i) is false. (ii) and (iii) are true.

**A2.** (a) In this case we can take  $L = \{p, q\}$ , and  $\alpha_1, \alpha_2, \alpha_3, \alpha_4$  to be  $p \wedge q, p \wedge \neg q, \neg p \wedge q, \neg p \wedge \neg q$  respectively. Then

$$\begin{aligned} S_{\neg(p \wedge q) \vee (p \vee q)} &= S_{p \vee q} = \{\alpha_1, \alpha_2, \alpha_3\}, & S_{\neg \neg q \vee \neg p} &= S_{q \vee \neg p} = \{\alpha_1, \alpha_3, \alpha_4\}, \\ S_{\neg(p \wedge q) \wedge (p \vee q)} &= S_{(p \wedge \neg q) \vee (\neg p \wedge q)} = \{\alpha_2, \alpha_3\}, & S_{\neg q \wedge \neg p} &= \{\alpha_4\}. \end{aligned}$$

Running the Z-algorithm we get:

$$\begin{aligned} A_0 &= \{\alpha_1, \alpha_2, \alpha_3, \alpha_4\}, \\ K_0 &= K = \{\neg(p \wedge q) \sim p \vee q, \neg q \sim \neg p\}, \\ u_1 &= A_0 \cap S_{\neg(p \wedge q) \vee (p \vee q)} \cap S_{\neg \neg q \vee \neg p} = \{\alpha_1, \alpha_2, \alpha_3\} \cap \{\alpha_1, \alpha_3, \alpha_4\} = \{\alpha_1, \alpha_3\}, \\ A_1 &= A_0 - u_1 = \{\alpha_2, \alpha_4\}, \\ K_1 &= \{\neg q \sim \neg p\}, \text{ since } S_{\neg(p \wedge q) \wedge (p \vee q)} \cap u_1 = \{\alpha_2, \alpha_3\} \cap \{\alpha_1, \alpha_3\} = \{\alpha_3\} \neq \emptyset \text{ and} \\ & S_{\neg q \wedge \neg p} \cap u_1 = \{\alpha_4\} \cap \{\alpha_1, \alpha_3\} = \emptyset. \\ u_2 &= A_1 \cap S_{\neg q \vee \neg p} = \{\alpha_2, \alpha_4\} \cap \{\alpha_1, \alpha_3, \alpha_4\} = \{\alpha_4\}, \\ A_2 &= A_1 - u_2 = \{\alpha_2\}, \\ K_2 &= \emptyset, \text{ since } u_2 \cap S_{\neg q \wedge \neg p} = \{\alpha_4\} \cap \{\alpha_4\} = \{\alpha_4\} \neq \emptyset, \\ u_3 &= A_2 \cap \bigcap_{\theta \sim \phi \in K_2} S_{\neg \theta \vee \phi} = A_2 \cap At^L = \{\alpha_2\}. \end{aligned}$$

Since all the atoms have now been used up we must have  $u_4 = \emptyset$  so the rational closure of  $K$  is  $\sim_{\vec{u}}$  where

$$\vec{u} = u_1, u_2, u_3 = \{\alpha_1, \alpha_3\}, \{\alpha_4\}, \{\alpha_2\}.$$

(b) From REF we have  $\phi \sim \phi$  so with  $\theta \sim \phi$  and DIS we obtain  $\theta \vee \phi \sim \phi$ . With  $\theta \vee \phi \sim \theta$  and CMO we get  $(\theta \vee \phi) \wedge \phi \sim \theta$ . But  $((\theta \vee \phi) \wedge \phi) \equiv \phi$  so by LLE we obtain  $\phi \sim \theta$  as required.

**A3.** For  $\Gamma \subseteq SML$  and  $\theta \in SML$ ,  $\Gamma \models^K \theta$  means that for every frame  $\langle W, E, V \rangle$  and every world  $i \in W$ , if  $i \models \gamma$  for all  $\gamma \in \Gamma$  then  $i \models \theta$ .

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<sup>1</sup>These solutions are more detailed than I would expect in the exam. That's because I want them to also serve an educational purpose when given with 'last year's paper' next year(!)

(i). Let  $\langle W, E, V \rangle$  be a frame and let  $i \in W$  with  $i \models \Box(\theta \vee \phi)$ . Suppose  $i \not\models \Box\theta$ . By definition, there is some  $j \in W$  with  $\langle i, j \rangle \in E$  such that  $j \not\models \theta$ . Since  $i \models \Box(\theta \vee \phi)$ , we have  $j \models (\theta \vee \phi)$ , thus  $j \models \phi$ . By definition of  $i \models \Diamond\phi$ , this gives  $i \models \Diamond\phi$ . Hence, either way,  $i \models \Box\theta \vee \Diamond\phi$ , as required.

(ii)  $\langle W, E, V \rangle$  be the frame  $W = \{1, 2\}$ ,  $E = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle\}$  and let  $V_1(p) = 1 = V_1(q)$ ,  $V_2(p) = 0 = V_2(q)$ . Then  $1 \models \Diamond q$ , since  $\langle 1, 1 \rangle \in E$  and  $1 \models q$ , so  $1 \models \Box p \vee \Diamond q$ . However  $\langle 1, 2 \rangle \in E$  and  $2 \not\models p \vee q$  so  $1 \not\models \Box(p \vee q)$ . Thus  $\Box p \vee \Diamond q \not\models^K \Box(p \vee q)$ .

**A4.** A *proof* in  $D$  is a finite sequence of sequents

$$\Gamma_1 | \theta_1, \dots, \Gamma_m | \theta_m,$$

where  $\theta_i \in SML$  and  $\Gamma_i \subseteq SML$  are finite, such that for each  $i = 1, \dots, m$ , either  $\Gamma_i | \theta_i$  is an instance of an axiom of  $D$ , or for some  $j_1, \dots, j_s < i$

$$\frac{\Gamma_{j_1} | \theta_{j_1} \quad \dots \quad \Gamma_{j_s} | \theta_{j_s}}{\Gamma_i | \theta_i}$$

is an instance of one of the rules NEC or AND-MON from SC.

$\Gamma \vdash^D \theta \iff$  there is a proof  $\Gamma_1 | \theta_1, \dots, \Gamma_m | \theta_m$  in  $D$  such that  $\Gamma_m \subseteq \Gamma$  and  $\theta_m = \theta$ .

Formal proof of  $\vdash^D \Box(\neg\Diamond\theta \rightarrow \neg\Box\theta)$ :

1.  $\Box\theta | \Diamond\theta$   $D$  axiom
2.  $\neg\Diamond\theta | \neg\Diamond\theta$  REF
3.  $\Box\theta, \neg\Diamond\theta | \Diamond\theta$  MON 1
4.  $\Box\theta, \neg\Diamond\theta | \neg\Diamond\theta$  MON 2
5.  $\neg\Diamond\theta | \neg\Box\theta$  NIN 3,4
6.  $| \neg\Diamond\theta \rightarrow \neg\Box\theta$  IMR 5
7.  $| \Box(\neg\Diamond\theta \rightarrow \neg\Box\theta)$  NEC 6

**A5.** McNaughton's Theorem for  $L = \{p\}$  says: A function  $F : [0, 1] \rightarrow [0, 1]$  is of the form  $F_\theta$  for some  $\theta \in SL$  iff there exist some  $0 = \gamma_1 < \gamma_2 < \gamma_3 < \dots < \gamma_{n-1} < \gamma_n = 1$  such that on each  $[\gamma_{i-1}, \gamma_i]$ ,  $F(x) = m_0 + m_1x$  for some  $m_0, m_1 \in \mathbb{Z}$ .

Now assume  $w(p) = \frac{1}{3}$ . Let  $S := \{0, \frac{1}{3}, \frac{2}{3}, 1\}$ . The functions  $F_\rightarrow(x, y) = \min\{1, 1 - x + y\}$  and  $F_\neg(x) = 1 - x$  of Łukasiewicz logic, restricted to the set  $S$  obviously have again values in  $S$ . Since  $F_\theta$  is a composition of  $F_\rightarrow$  and  $F_\neg$ ,  $F_\theta(S)$  also is contained in  $S$ . Since  $w(\theta) = F_\theta(w(p))$  and  $w(p) \in S$  we get  $w(\theta) \in S$  as desired.

Alternatively, by McNaughton's theorem there are some  $\gamma_i \leq 1/3 \leq \gamma_{i+1}$  and  $m_0, m_1 \in \mathbb{Z}$  such that on  $F_\theta(x) = m_0 + m_1x \in [0, 1]$  on  $[\gamma_i, \gamma_{i+1}]$ . Hence

$$w(\theta) = F_\theta(1/3) = m_0 + m_1/3 = (3m_0 + m_1)/3 \in \{0, 1/3, 2/3, 1\}$$

since  $3m_0 + m_1 \in \mathbb{Z}$  and  $(3m_0 + m_1)/3 \in [0, 1]$ .

**A6.** The desirable properties C1-C4 for a function  $F_\wedge : [0, 1]^2 \rightarrow [0, 1]$  are the following:

- (C1)  $F_\wedge(0, 1) = F_\wedge(1, 0) = 0, F_\wedge(1, 1) = 1,$
- (C2)  $F_\wedge$  is continuous,
- (C3)  $F_\wedge$  is increasing (not necessarily strictly) in each coordinate,
- (C4)  $F_\wedge$  is associative, i.e.  $F_\wedge(x, F_\wedge(y, z)) = F_\wedge(F_\wedge(x, y), z)$  for  $x, y, z \in [0, 1]$ .

Mostert-Shields Theorem: Let  $F_\wedge$  satisfy (C1-4) and let  $A = \{x \in [0, 1] \mid F_\wedge(x, x) = x\}$ . Then for  $x \in A$  and  $0 \leq z \leq x \leq y \leq 1$ ,

$$F_\wedge(z, y) = F_\wedge(y, z) = z = \min\{y, z\},$$

and if  $a < b, a, b \in A$  and  $(a, b) \cap A = \emptyset$  then on  $[a, b]$  either

$$\langle [a, b], F_\wedge, < \rangle \cong \langle [0, 1], \times, < \rangle$$

or

$$\langle [a, b], F_\wedge, < \rangle \cong \langle [0, 1], \max\{0, x + y - 1\}, < \rangle^2,$$

with the latter of these holding just if  $F_\wedge(c, c) = a$  for some  $a < c < b$ .

Firstly if

$$G(x, x) = \frac{1}{2} \max\{x^2 + 2x - 1, 0\} = x$$

then either  $x = 0$  or  $x^2 + 2x - 1 = 2x \geq 0$  in which case  $x^2 = 1$  and  $x = 1$ . Hence in the Mostert-Shields Theorem  $A = \{0, 1\}$  and  $\langle [0, 1], G, < \rangle$  is either isomorphic to  $\langle [0, 1], \max\{0, x + y - 1\}, < \rangle$  or to  $\langle [0, 1], F_\wedge, < \rangle \cong \langle [0, 1], \times, < \rangle$ . It cannot be the later of these since in there we have  $x \times x > 0$  whenever  $x > 0$  whilst, for example,  $G(\frac{1}{4}, \frac{1}{4}) = 0$ . Hence

$$\langle [0, 1], G, < \rangle \cong \langle [0, 1], \max\{0, x + y - 1\}, < \rangle$$

**B7.** In order to show

$$\frac{\sim \theta \quad \neg \phi \sim \neg \theta}{\sim \phi}$$

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<sup>2</sup>In other words, on  $[a, b]$   $F_\wedge$  either looks like a copy of the  $F_\wedge$  of Product Logic,  $\mathbb{F}^2$  (on  $[0, 1]$ ) or looks like a copy of the  $F_\wedge$  of Łukasiewicz Logic,  $\mathbb{F}^3$ .

we use the Representation Theorem for rational consequence relations, so we may assume that  $\vdash = \vdash_{\vec{s}}$ , with  $\vec{s} = s_1, \dots, s_m$ . Assume that  $\vdash_{\vec{s}} \theta, \neg\phi \vdash_{\vec{s}} \neg\theta$ . To confirm the rule we have to show that  $\vdash_{\vec{s}} \phi$ . If each  $s_i = \emptyset$  then for  $\eta$  a tautology  $s_i \cap \eta = \emptyset$  for each  $i$  so  $\eta \vdash \phi$  as required. Otherwise, without loss of generality we may assume that  $s_1 \neq \emptyset$ . Then for any tautology  $\eta$ ,  $s_1 \cap S_\eta = s_1 \cap At^L = s_1 \neq \emptyset$  so, since  $\vdash_{\vec{s}} \theta$ ,  $s_1 \subseteq S_\theta$ . We have to show  $\vdash \phi$ , in other words  $s_1 \subseteq S_\phi$ . If  $s_1 \not\subseteq S_\phi$ , then  $s_1 \cap S_{\neg\phi} \neq \emptyset$ , hence  $\neg\phi \vdash \neg\theta$  implies  $s_1 \cap S_{\neg\theta} \neq \emptyset$ . As  $S_{\neg\theta}$  is the complement of  $S_\theta$ , this contradicts  $s_1 \subseteq S_\theta$  and the result follows.

In order to show that the rule

$$\frac{\psi \vdash \theta \quad \neg\phi \vdash \neg\theta}{\psi \vdash \phi}$$

can not be derived from the Gabbay-Makinson conditions we provide a rational consequence relation that fails to satisfy it: Let  $L = \{p, q, r\}$ ,  $s_1 = \{\neg p \wedge \neg q \wedge \neg r\}$ ,  $s_2 = \{\neg p \wedge q \wedge r\}$ ,  $\vec{s} = s_1, s_2$ . Then  $r \vdash_{\vec{s}} q$  and  $\neg p \vdash_{\vec{s}} \neg q$  but  $r \not\vdash_{\vec{s}} p$  so the 'rule'

$$\frac{\psi \vdash \theta \quad \neg\phi \vdash \neg\theta}{\psi \vdash \phi}$$

fails for  $\vdash_{\vec{s}}$  with  $\theta = q$ ,  $\phi = p$ ,  $\psi = r$ .

**B8.**  $\Rightarrow$ : We need to show that if  $\langle W, E, V \rangle$  is a thin frame,  $i \in W$  and  $i \models \diamond\theta$  then  $i \models \Box\theta$ . So assume that  $i \in W$  and  $i \models \diamond\theta$ . Then there must be some  $j \in W$  such that  $j \models \theta$  and  $\langle i, j \rangle \in E$ . Let  $\langle i, k \rangle \in E$ . Then by thinness  $j = k$ , so  $k \models \theta$ . Hence by definition  $i \models \Box\theta$ , as required.

$\Leftarrow$ : Let  $\Delta, \Gamma, \Omega \subseteq SML$  be maximally consistent in  $H$  and

$$\{\theta \mid \Box\theta \in \Delta\} \subseteq \Gamma, \Omega.$$

We must show that  $\Gamma \subseteq \Omega$ , since then by symmetry  $\Omega \subseteq \Gamma$ , so  $\Gamma = \Omega$  and the 'canonical frame' is thin. So suppose that  $\theta \in \Gamma$ . If  $\Box\theta \in \Delta$  then  $\theta \in \Omega$ , as required. So suppose that  $\Box\theta \notin \Delta$ . Then  $\neg\Box\theta \in \Delta$  (because  $\Delta$  is maximal) so  $\diamond\neg\theta \in \Delta$  since  $\Delta$  is closed under proofs in  $H$  and  $\neg\Box\theta \vdash^K \diamond\neg\theta$ .  $\therefore$  by the axiom of  $H$ ,  $\Box\neg\theta \in \Delta$  and  $\neg\theta \in \Gamma$ . But then  $\Gamma$  must be inconsistent since also  $\theta \in \Gamma$ , contradiction. We conclude that the case  $\Box\theta \notin \Delta$  is impossible and the required result follows.

Let  $\langle W, E, V \rangle$  be the thin frame with  $W = \{0\}$ ,  $E = \emptyset$ ,  $V_0(p) = 1$ . Then  $0 \models \Box p$  (trivially since there are no  $j \in W$  such that  $\langle 0, j \rangle \in E$ ) and similarly  $0 \not\models \diamond p$ . Hence  $\Box p \not\equiv^H \diamond p$  so by the first part  $\Box p \not\equiv^H \diamond p$ .

**B9.**

(a) Let  $w$  be a  $[0, 1]$ -valuation. If  $w(q) \leq w(p)$  then  $w(q \rightarrow p) = 1$  so  $w((p \rightarrow q) \rightarrow p) \leq 1 = w(q \rightarrow p)$  so  $w(((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p)) = 1$ .

If  $w(q) > w(p)$  then

$$w(q \rightarrow p) = \min\{1, 1 - w(q) + w(p)\} = 1 - w(q) + w(p)$$

and  $w(p \rightarrow q) = \min\{1, 1 - w(p) + w(q)\} = 1$  so

$$w((p \rightarrow q) \rightarrow p) = \min\{1, 1 - w(p \rightarrow q) + w(p)\} = w(p).$$

Hence again

$$\begin{aligned} w(((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p)) &= \min\{1, 1 - w((p \rightarrow q) \rightarrow p) + w(q \rightarrow p)\} \\ &= \min\{1, 1 - w(p) + (1 - w(q) + w(p))\} \\ &= \min\{1, 2 - w(q)\} = 1 \quad \text{since } w(q) \leq 1, \end{aligned}$$

so  $\models^{\mathbf{L}} ((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p)$  follows.

(b) Let  $w(p) = \frac{2}{3}$ ,  $w(q) = \frac{1}{3}$ . Then

$$w(p \rightarrow q) = \min\{1, 1 - \frac{2}{3} + \frac{1}{3}\} = \frac{2}{3}$$

so

$$w((p \rightarrow q) \rightarrow p) = \min\{1, 1 - \frac{2}{3} + \frac{2}{3}\} = 1$$

and since this is greater than  $w(p) = \frac{2}{3}$  so  $w((p \rightarrow q) \rightarrow p) \rightarrow p < 1$  and  $\not\models^{\mathbf{L}} ((p \rightarrow q) \rightarrow p) \rightarrow p$  follows.

(c) A suitable proof of  $\vdash^{\mathbf{L}} ((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p)$  is:

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|---|-------------------|
| 1. $ q \rightarrow (p \rightarrow q)$   | $\mathbf{L1}$     |
| 2. $ ((q \rightarrow (p \rightarrow q)) \rightarrow ((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p))$ | $\mathbf{L2}$     |
| 3. $ ((p \rightarrow q) \rightarrow p) \rightarrow (q \rightarrow p)$   | $\mathbf{MP,1,2}$ |