

12.6 Exercise

Let $\mathcal{G}_2 = \langle \mathbb{N}; <; +, \cdot; 0, 1 \rangle$. Use 12.2 to prove that there exists a structure \mathcal{B} such that $\mathcal{B} \equiv \mathcal{G}_2$ but $\mathcal{B} \not\equiv \mathcal{G}_2$. (Such structures \mathcal{B} are called non-standard models of number theory, and they were the subject of my Ph.D. thesis.)

13. Types

To prove the \Rightarrow direction in 12.2 we require some more general theory. Here, \mathcal{L} can be any language and T any complete \mathcal{L} -theory.

13.1 Definition

Let $n \geq 0$. A subset $p \subseteq F_n(\mathcal{L})$ is called an n -type (over T) if the following three conditions are satisfied:

- (1) if $\phi \in p$, then $T \models \exists v_1 \dots \exists v_n \phi$;
- (2) if $\phi \in p$ and $\psi \in p$ then $(\phi \wedge \psi) \in p$;
- (3) for any $\phi \in F_n(\mathcal{L})$, either $\phi \in p$ or $\neg \phi \in p$ (but not both by (2) and (1)).

If p just satisfies (1) and (2) we call p a partial n -type (over T).

Notation: If $\phi \in F_n(\mathcal{L})$ and τ_1, \dots, τ_n are closed terms of \mathcal{L} , we write $\phi(\tau_1, \dots, \tau_n)$ for the sentence obtained by replacing each free occurrence of v_i in ϕ by τ_i (for each $i = 1, \dots, n$).

Notice that if p is any partial n -type (over T) and $\phi_1, \dots, \phi_r \in p$ then $(\bigwedge_{i=1}^r \phi_i) \in p$ (by repeated

use of 13.1(2), and hence $T \models \exists v_1 \dots \exists v_m \left(\bigwedge_{i=1}^r \phi_i \right)$.

13.2 Theorem

If ρ is a partial n -type (over T), then there exists $\mathcal{A} \models T$ and $a_1, \dots, a_n \in A$ such that for all $\phi \in \rho$, $\mathcal{A} \models \phi[a_1, \dots, a_n]$. Further, if \mathcal{L} is countable, then \mathcal{A} may be taken to be countable.

Proof.

Let c_1, \dots, c_n be new constant symbols and consider the set

$$T \cup \{ \phi(c_1, \dots, c_n) : \phi \in \rho \}.$$

This is finitely satisfiable since if $\phi_1, \dots, \phi_r \in \rho$ then by the above remark, any \mathcal{L} -structure $\mathcal{A} \models T$ satisfies $\mathcal{A} \models \exists v_1 \dots \exists v_m \left(\bigwedge_{i=1}^r \phi_i \right)$. So for some $a_1, \dots, a_m \in A$ we have

$$\mathcal{A} \models \left(\bigwedge_{i=1}^r \phi_i \right) [a_1, \dots, a_m]$$

which easily implies

$$\langle \mathcal{A}, a_1, \dots, a_m \rangle \models \left(\bigwedge_{i=1}^r \phi_i(c_1, \dots, c_m) \right)$$

Thus, by the compactness theorem there is some $\mathcal{B} \models T$ and $b_1, \dots, b_m \in B$ such that for all $\phi \in \rho$, $\langle \mathcal{B}, b_1, \dots, b_m \rangle \models \phi(c_1, \dots, c_m)$, so for all $\phi \in \rho$,

$$\mathcal{B} \models T \text{ and } \mathcal{B} \models \phi[b_1, \dots, b_m], \text{ as required.}$$

Now if \mathcal{L} is countable we just use the downward Löwenheim-Skolem Theorem to find $\mathcal{B}' \preceq \mathcal{B}$ with $b_1, \dots, b_m \in B'$ and B' countable. Then $\mathcal{B}' \models T$ and $\mathcal{B}' \models \phi[b_1, \dots, b_m]$ for all $\phi \in \rho$.

□

13.3 Remarks and definitions

(1) For any $\mathcal{C} \models T$ and $a_1, \dots, a_n \in A$, the subset $\{\phi \in F_n(\mathcal{L}) : \mathcal{C} \models \phi[a_1, \dots, a_n]\}$ is clearly an n -type (over T). Thus, one consequence of 13.2 is that any partial n -type (over T) may be extended to (i.e. is contained in) some n -type (over T).

(2) We say that an n -type (over T), p say, is realized in a model $\mathcal{C} \models T$, if there is some $a_1, \dots, a_n \in A$ such that for all $\phi \in p$, $\mathcal{C} \models \phi[a_1, \dots, a_n]$. (and then we say that $\langle a_1, \dots, a_n \rangle$ realizes p in \mathcal{C}). If \mathcal{C} does not realize p (by any $\langle a_1, \dots, a_n \rangle \in A^n$) we say that \mathcal{C} omits p .

(3) Suppose $\mathcal{C}, \mathcal{D} \models T$ and $\pi : \mathcal{C} \cong \mathcal{D}$. If $\langle a_1, \dots, a_n \rangle \in A^n$ realizes the n -type (over T) p in \mathcal{C} then, by 6.3, $\langle \pi(a_1), \dots, \pi(a_n) \rangle$ realizes p in \mathcal{D} . Hence, isomorphic models of T realize exactly the same n -types (over T), for all n .

(4) Assuming \mathcal{L} is countable and $n \geq 1$ is such that $F_n(\mathcal{L}) / E_n(T)$ is infinite, we shall show

that there is some n -type (over T), p_0 say, and some countable $\mathcal{C} \models T$ such that \mathcal{C} omits p_0 . Since, by 13.2, there is some countable $\mathcal{D} \models T$ that realizes p_0 , it follows from (3) that $\mathcal{C} \not\cong \mathcal{D}$, and hence that T is not ω -categorical.

We now discuss where not to look for such a type p_0 .