

Variants of semigroups - the case study of finite full transformation monoids

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Prime suspects



Mr. Shady Corleone



Violet Moon
(special undercover agent)

Now seriously... co-authors



I.D.



James East
(*U. of Western Sydney*)

Variants of semigroups

Let (S, \cdot) be a semigroup and $a \in S$. Given these, one can easily define an alternative product \star_a on S , namely

$$x \star_a y = xay.$$

This is the **variant** $S^a = (S, \star_a)$ of S with respect to a .

First mention of variants (as far as we know): **Lyapunov's** book from **1960** (in Russian).

Magill (1967): Semigroups of functions $X \rightarrow Y$ under an operation defined by

$$f \cdot g = f \circ \theta \circ g,$$

where θ is a fixed function $Y \rightarrow X$. For $Y = X$, this is exactly a variant of \mathcal{T}_X .

History of variants – continued

Hickey (1980s): Variants of general semigroups \rightarrow a new characterisation of Nambooripad's order on regular semigroups

Khan & Lawson (2001): Variants of regular semigroups (natural relation to Rees matrix semigroups). In fact, they obtain a natural generalisation of the notion of group of units for non-monoidal regular semigroups.

G. Y. Tsyaputa (2004/5): variants of finite full transformation semigroups \mathcal{T}_n

- ▶ classification of non-isomorphic variants
- ▶ idempotents, Green's relations
- ▶ analogous questions for \mathcal{PT}_n

A more accessible account of her results may be found in the monograph of **Ganyushkin & Mazorchuk** *Classical Finite Transformation Semigroups* (Springer, 2009).

Several examples

For a **group** G and $a \in G$, we always have $G^a \cong G$ via $x \mapsto xa$.
The identity element in G^a is a^{-1} .

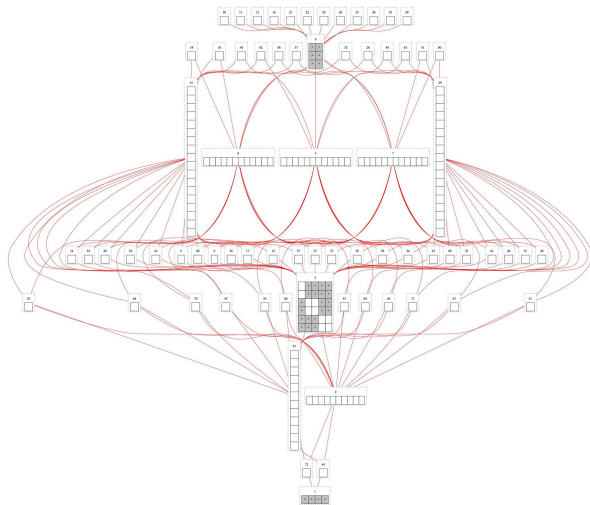
On the other hand, if S the **bicyclic monoid**, then $a, b \in S$, $a \neq b$ implies $S^a \not\cong S^b$.

If S is a monoid, $a, u, v \in S$, and u, v are units, then $S^{uav} \cong S^a$ via $x \mapsto vxu$.

Thus, for any $a \in \mathcal{T}_X$ there exists $e \in E(\mathcal{T}_X)$ such that $\mathcal{T}_X^a \cong \mathcal{T}_X^e$.

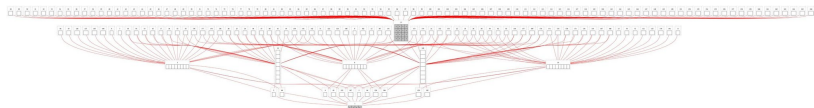
A WORD OF CAUTION: If S is a regular semigroup, S^a is **not regular** in general! However, for regular S and arbitrary $a \in S$, $\text{Reg}(S^a)$ is always a subsemigroup of S^a (Khan & Lawson).

A word of caution, you said...?

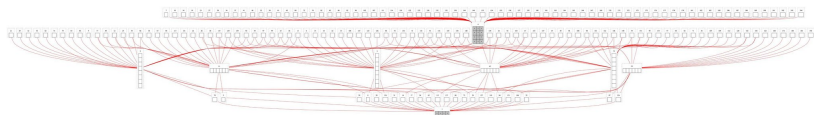


Egg-box picture of \mathcal{T}_4^a for $a = [1, 2, 3, 3]$

A word of caution, you said...?



Egg-box picture of \mathcal{T}_4^a for $a = [1, 1, 3, 3]$



Egg-box picture of \mathcal{T}_4^a for $a = [1, 1, 1, 4]$

Three important sets

$$P_1 = \{x \in S : xa \mathcal{R} x\}, \quad P_2 = \{x \in S : ax \mathcal{L} x\},$$

$$P = P_1 \cap P_2$$

Easy facts:

- ▶ $y \in P_1 \Leftrightarrow L_y \subseteq P_1$,
- ▶ $y \in P_2 \Leftrightarrow R_y \subseteq P_2$,
- ▶ $\text{Reg}(S^a) \subseteq P$

Green's relations: $\mathcal{R}^a, \mathcal{L}^a, \mathcal{H}^a, \mathcal{D}^a$

$$R_x^a = \begin{cases} R_x \cap P_1 & \text{if } x \in P_1 \\ \{x\} & \text{if } x \in S \setminus P_1, \end{cases}$$

$$L_x^a = \begin{cases} L_x \cap P_2 & \text{if } x \in P_2 \\ \{x\} & \text{if } x \in S \setminus P_2, \end{cases}$$

$$H_x^a = \begin{cases} H_x & \text{if } x \in P \\ \{x\} & \text{if } x \in S \setminus P, \end{cases}$$

$$D_x^a = \begin{cases} D_x \cap P & \text{if } x \in P \\ L_x^a & \text{if } x \in P_2 \setminus P_1 \\ R_x^a & \text{if } x \in P_1 \setminus P_2 \\ \{x\} & \text{if } x \in S \setminus (P_1 \cup P_2). \end{cases}$$

Group \mathcal{H} -classes vs group \mathcal{H}^a -classes (in P)

Let $S = \mathcal{T}_4$ and $a = [1, 2, 3, 3]$.

x	Is H_x a group \mathcal{H} -class of \mathcal{T}_4 ?	Is H_x a group \mathcal{H}^a -class of \mathcal{T}_4^a ?
$[1, 1, 3, 3]$	Yes	Yes
$[4, 2, 2, 4]$	Yes	No
$[2, 4, 2, 4]$	No	Yes
$[1, 3, 1, 3]$	No	No

Our goal for today...

...is to conduct a thorough algebraic and combinatorial analysis of \mathcal{T}_X^a where $|X| = n$ and a is a fixed transformation on X .

As we noted, we may assume that a is **idempotent** with $r = \text{rank}(a) < n$,

$$a = \begin{pmatrix} A_1 & \cdots & A_r \\ a_1 & \cdots & a_r \end{pmatrix},$$

so that $a_i \in A_i$ for all $i \in [1, r]$.

Here $A = \text{im}(a) = \{a_1, \dots, a_r\}$ and $\alpha = \ker(a) = (A_1 | \cdots | A_r)$, with $\lambda_i = |A_i|$. Furthermore, for $I = \{i_1, \dots, i_m\} \subseteq [1, r]$ we write $\Lambda_I = \lambda_{i_1} \cdots \lambda_{i_m}$ and $\Lambda = \lambda_1 \cdots \lambda_r$.

P_1, P_2, P in \mathcal{T}_X^a

Let $B \subseteq X$ and let β be an equivalence relation on X . We say that B **saturates** β if each β -class contains at least one element of B . Also, we say that β **separates** B if each β -class contains at most one element of B .

$$\begin{aligned} P_1 &= \{f \in \mathcal{T}_X : \text{rank}(fa) = \text{rank}(f)\} \\ &= \{f \in \mathcal{T}_X : \alpha \text{ separates } \text{im}(f)\} \end{aligned}$$

$$\begin{aligned} P_2 &= \{f \in \mathcal{T}_X : \text{rank}(af) = \text{rank}(f)\} \\ &= \{f \in \mathcal{T}_X : A \text{ saturates } \ker(f)\} \end{aligned}$$

$$P = \{f \in \mathcal{T}_X : \text{rank}(afa) = \text{rank}(f)\} = \text{Reg}(\mathcal{T}_X^a) \leq \mathcal{T}_X^a$$

Green's relations in \mathcal{T}_X^a (Tsyaputa, 2004)

$$R_f^a = \begin{cases} R_f \cap P_1 & \text{if } f \in P_1 \\ \{f\} & \text{if } f \in \mathcal{T}_X \setminus P_1, \end{cases}$$

$$L_f^a = \begin{cases} L_f \cap P_2 & \text{if } f \in P_2 \\ \{f\} & \text{if } f \in \mathcal{T}_X \setminus P_2, \end{cases}$$

$$H_f^a = \begin{cases} H_f & \text{if } f \in P \\ \{f\} & \text{if } f \in \mathcal{T}_X \setminus P, \end{cases}$$

$$D_f^a = \begin{cases} D_f \cap P & \text{if } f \in P \\ L_f^a & \text{if } f \in P_2 \setminus P_1 \\ R_f^a & \text{if } f \in P_1 \setminus P_2 \\ \{f\} & \text{if } f \in \mathcal{T}_X \setminus (P_1 \cup P_2). \end{cases}$$

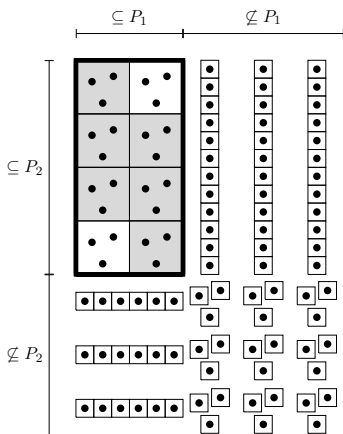
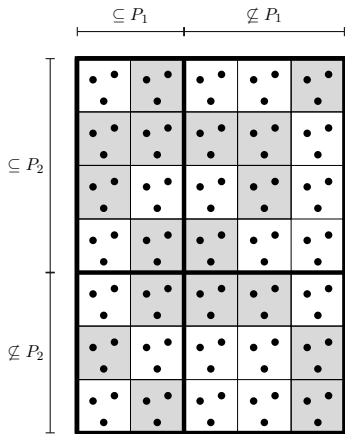
'High-energy semigroup theory'

- ▶ Recall that in \mathcal{T}_X , the \mathcal{D} -classes form a chain:

$$D_n > D_{n-1} > \cdots > D_2 > D_1.$$

- ▶ Each of the \mathcal{D} -classes D_{r+1}, \dots, D_n is completely 'shattered' into singleton 'shrapnels' / \mathcal{D}^a -classes in \mathcal{T}_X^a .
- ▶ Since all constant maps trivially belong to P , D_1 is preserved, and remains a right zero band.
- ▶ For $2 \leq m \leq r$, the class D_r separates into a single regular chunk $D_r \cap P$ and a number of non-regular pieces, as seen on the following picture...

'High-energy semigroup theory'



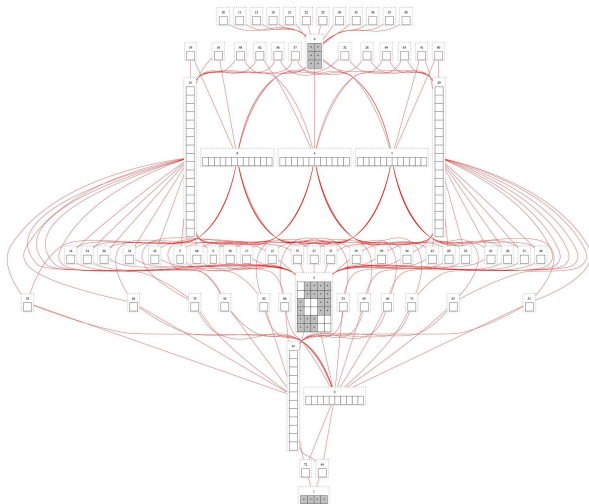
Order of the \mathcal{D}^a -classes

Let $f, g \in \mathcal{T}_X$. Then $D_f^a \leq D_g^a$ in \mathcal{T}_X^a if and only if one of the following holds:

- ▶ $f = g$,
- ▶ $\text{rank}(f) \leq \text{rank}(aga)$,
- ▶ $\text{im}(f) \subseteq \text{im}(ag)$,
- ▶ $\text{ker}(f) \supseteq \text{ker}(ga)$.

The maximal \mathcal{D}^a -classes are those of the form $D_f^a = \{f\}$ where $\text{rank}(f) > r$.

Order of the \mathcal{D}^a -classes



The rank of \mathcal{T}_X^a

Let $M = \{f \in \mathcal{T}_X : \text{rank}(f) > r\}$.

Then $\mathcal{T}_X^a = \langle M \rangle$; furthermore, any generating set for \mathcal{T}_X^a contains M .

Consequently, M is the unique minimal (with respect to containment or size) generating set of \mathcal{T}_X^a , and

$$\text{rank}(\mathcal{T}_X^a) = |M| = \sum_{m=r+1}^n S(n, m) \binom{n}{m} m!,$$

where $S(n, m)$ denotes the Stirling number of the second kind.

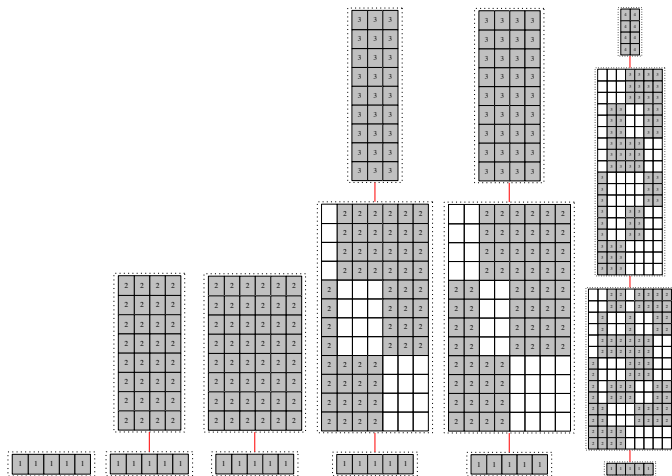
'Positioning' with respect to the regular classes

- ▶ If $f \in P$, then $D_f^a \leq D_g^a$ if and only if $\text{rank}(f) \leq \text{rank}(aga)$.
- ▶ If $g \in P$, then $D_f^a \leq D_g^a$ if and only if $\text{rank}(f) \leq \text{rank}(g)$.

Consequences:

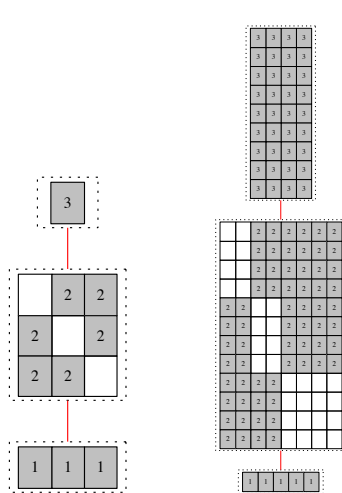
- ▶ The regular \mathcal{D}^a -classes of \mathcal{T}_X^a form a chain: $D_1^a < \dots < D_r^a$ (where $D_m^a = \{f \in P : \text{rank}(f) = m\}$ for $m \in [1, r]$).
- ▶ 'Co-ordinatisation' of the non-regular, 'fragmented' \mathcal{D}^a -classes: if $\text{rank}(f) = m \leq r$ and $\text{rank}(afa) = p < m$, then D_f^a sits below D_m^a and above D_p^a .
- ▶ The 'crown': A maximal \mathcal{D}^a -class $D_f^a = \{f\}$ sits above D_r^a if and only if $\text{rank}(afa) = r$. The number of such \mathcal{D}^a -classes is equal to $(n^{n-r} - r^{n-r})r!\Lambda$.

Reg(\mathcal{T}_X^a) – examples



Egg-box diagrams of the regular subsemigroups $P = \text{Reg}(\mathcal{T}_5^a)$ in the cases
 (from left to right): $a = [1, 1, 1, 1, 1]$, $a = [1, 2, 2, 2, 2]$, $a = [1, 1, 2, 2, 2]$,
 $a = [1, 2, 3, 3, 3]$, $a = [1, 2, 2, 3, 3]$, $a = [1, 2, 3, 4, 4]$.

Do you see what I am seeing???



Egg-box diagrams of \mathcal{T}_3 (left) and $\text{Reg}(\mathcal{T}_5^a)$ for $a = [1, 2, 2, 3, 3]$ (right).

No, this is not **just** a coincidence...!

$$\mathcal{T}(X, A) = \{f \in \mathcal{T}_X : \text{im}(f) \subseteq A\}$$

$$\mathcal{T}(X, \alpha) = \{f \in \mathcal{T}_X : \text{ker}(f) \supseteq \alpha\}$$

– transformation semigroups with **restricted range** (Sanwong & Sommanee, 2008), and **restricted kernel** (Mendes-Gonçalves & Sullivan, 2010).

Fact:

$$\text{Reg}(\mathcal{T}(X, A)) = \mathcal{T}(X, A) \cap P_2$$

$$\text{Reg}(\mathcal{T}(X, \alpha)) = \mathcal{T}(X, \alpha) \cap P_1$$

Structure Theorem – Part 1

$$\psi : f \mapsto (fa, af)$$

is a well-defined embedding of $\text{Reg}(\mathcal{T}_X^a)$ into the direct product $\text{Reg}(\mathcal{T}(X, A)) \times \text{Reg}(\mathcal{T}(X, \alpha))$. Its image consists of all pairs (g, h) such that

$$\text{rank}(g) = \text{rank}(h) \quad \text{and} \quad g|_A = (ha)|_A.$$

Thus $\text{Reg}(\mathcal{T}_X^a)$ is a subdirect product of $\text{Reg}(\mathcal{T}_X^a)$ and $\text{Reg}(\mathcal{T}(X, \alpha))$.

Structure Theorem – Part 2

The maps

$$\phi_1 : \text{Reg}(\mathcal{T}(X, A)) \rightarrow \mathcal{T}_A : g \mapsto g|_A$$

$$\phi_2 : \text{Reg}(\mathcal{T}(X, \alpha)) \rightarrow \mathcal{T}_A : g \mapsto (ga)|_A$$

are epimorphisms, and the following diagram commutes:

$$\begin{array}{ccc} & \text{Reg}(\mathcal{T}_X^a) & \\ \psi_1 \swarrow & & \searrow \psi_2 \\ \text{Reg}(\mathcal{T}(X, A)) & & \text{Reg}(\mathcal{T}(X, \alpha)) \\ \phi_1 \searrow & & \swarrow \phi_2 \\ & \mathcal{T}_A & \end{array}$$

Further, the induced map $\phi = \psi_1\phi_1 = \psi_2\phi_2 = \text{Reg}(\mathcal{T}_X^a) \rightarrow \mathcal{T}_A$ is an epimorphism that is **'group / non-group preserving'**.

Size and rank of $P = \text{Reg}(\mathcal{T}_X^a)$

$$|P| = \sum_{m=1}^r m! m^{n-r} S(r, m) \sum_{I \in \binom{[1, r]}{m}} \Lambda_I.$$

Let D be the top $(\text{rank}-r)$ \mathcal{D}^a -class of P .

$$\text{rank}(P) = \text{rank}(D) + \text{rank}(P : D) = r^{n-r} + 1$$

The idempotent generated subsemigroup $\langle E_a(\mathcal{T}_X^a) \rangle_a$

- ▶ $E_a(\mathcal{T}_X^a) = \{f \in \mathcal{T}_X : (af)|_{\text{im}(f)} = \text{id}|_{\text{im}(f)}\}$.
- ▶ $|E_a(\mathcal{T}_X^a)| = \sum_{m=1}^r m^{n-m} \sum_{I \in \binom{[1,r]}{m}} \Lambda_I$.
- ▶ We obtain a pleasing generalisation of celebrated Howie's Theorem:

$$\mathcal{E}_X^a = \langle E_a(\mathcal{T}_X^a) \rangle_a = E_a(D) \cup (P \setminus D).$$

The idempotent generated subsemigroup $\langle E_a(\mathcal{T}_X^a) \rangle_a$



$$\text{rank}(\mathcal{E}_X^a) = \text{idrank}(\mathcal{E}_X^a) = r^{n-r} + \rho_r,$$

where $\rho_2 = 2$ and $\rho_r = \binom{r}{2}$ if $r \geq 3$.

- ▶ The number of idempotent generating sets of \mathcal{E}_X^a of the minimal possible size is

$$[(r-1)^{n-r} \Lambda]^{\rho_r} \Lambda! S(r^{n-r}, \Lambda) \sum_{\Gamma \in \mathbb{T}_r} \frac{1}{\lambda_1^{d_\Gamma^+(1)} \cdots \lambda_r^{d_\Gamma^+(r)}}.$$

where \mathbb{T}_r is the set of all strongly connected tournaments on r vertices.

The ideals of P

- ▶ The ideals of P are precisely

$$I_m^a = \{f \in P : \text{rank}(f) \leq m\}$$

for $m \in [1, r]$.

- ▶ They are all idempotent generated (by $E_a(D_m^a)$) except $P = I_r^a$ itself.
- ▶

$$\text{rank}(I_m^a) = \text{idrank}(I_m^a) = \begin{cases} m^{n-r} S(r, m) & \text{if } 1 < m < r \\ n & \text{if } m = 1. \end{cases}$$

Future work

- ▶ Conduct an analogous study for variants of:
 - ▶ full linear (matrix) monoids
 - ▶ symmetric inverse semigroups
 - ▶ various diagram semigroups (partition, (partial) Brauer, (partial) Jones, wire, Kaufmann, . . .)
 - ▶ . . .
- ▶ Consider an 'Ehresmann-style' defined small (semi)category (aka partial monoid / semigroup) S . One can turn each hom-set S_{ij} (i - domain, j - codomain) into a semigroup by fixing a 'sandwich' element $a \in S_{ji}$ and defining

$$x \star y = x \circ a \circ y.$$

These **sandwich semigroups** generalise the variants.

- ▶ applicable to functions, matrices, diagrams, . . .

THANK YOU!

Questions and comments to:

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Further information may be found at:

<http://people.dmu.ac.uk/~dockie>